



## Annual report on the implementation of the Kimberley Process Certification System in the Republic of Kazakhstan in 2023

### A. INSTITUTIONAL FRAMEWORK

Part A: Constant	Part B: Variable						
<p>The Ministry of Industry and Construction of the Republic of Kazakhstan, represented by the Industry Committee, is the responsible body for compliance with the requirements of the Kimberley Process Certification System (hereinafter – KPCS).</p> <p>Below are the contacts of authorized persons:</p> <table border="1" data-bbox="247 853 1145 1693"> <tr> <td data-bbox="247 853 627 1151">Turar Zholmagambetov</td><td data-bbox="627 853 1145 1151">           Deputy Chairman of the Industry Committee of the Ministry of Industry and Construction of the Republic of Kazakhstan.  <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i> </td></tr> <tr> <td data-bbox="247 1151 627 1449">Galymzhan Torebek</td><td data-bbox="627 1151 1145 1449">           Head of the Department of the Industry Committee of the Ministry of Industry and Construction of the Republic of Kazakhstan.  <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i> </td></tr> <tr> <td data-bbox="247 1449 627 1693">Moldir Topanova</td><td data-bbox="627 1449 1145 1693">           Chief Expert of the Industry Committee of the Ministry of Industry and Construction of the Republic Kazakhstan.  <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i> </td></tr> </table>	Turar Zholmagambetov	Deputy Chairman of the Industry Committee of the Ministry of Industry and Construction of the Republic of Kazakhstan. <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i>	Galymzhan Torebek	Head of the Department of the Industry Committee of the Ministry of Industry and Construction of the Republic of Kazakhstan. <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i>	Moldir Topanova	Chief Expert of the Industry Committee of the Ministry of Industry and Construction of the Republic Kazakhstan. <i>Postal address: Republic of Kazakhstan, Nursultan, Kabanbai Batyr Ave. 32/1, entrance from Kunaev Street</i>	-
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### B. LEGAL FRAMEWORK

Part A: Constant	Part B: Variable
<p><i>The regulatory framework consists of the following legislative acts:</i></p> <ol style="list-style-type: none"> <li>1. Law of the Republic of Kazakhstan «On Precious Metals and Precious Stones» dated January 14, 2016 № 444-V;</li> <li>2. Law of the Republic of Kazakhstan «On Permits and Notifications» dated May 16, 2014 № 202-V;</li> <li>3. Decision of the EEC Board of April 21, 2015 № 30 «On non-tariff regulation measures»;</li> <li>4. Decision of the Board of the Eurasian Economic Commission of October 6, 2015 №131 «On Amendments to the Decisions of the</li> </ol>	-

<p>Board of the Eurasian Economic Commission of April 21, 2015 №30»;</p> <p>5. Resolution of the Government of the Republic of Kazakhstan dated June 20, 2016 №356 «On approval of the rules for import into the territory of the Republic of Kazakhstan from countries outside the Eurasian Economic Union and export from the territory of the Republic of Kazakhstan to these countries of precious stones, jewelry and other products, import into the territory of the Republic of Kazakhstan and export from the territory of the Republic of Kazakhstan rough natural diamonds, taking into account the Kimberley Process Certification Scheme and the invalidation of certain decisions of the Government of the Republic of Kazakhstan»;</p> <p>6. Order of the Minister of Investment and Development of the Republic of Kazakhstan dated October 5, 2017 №677 «On approval of the Certificate Form of the International Certification Scheme for Rough Natural Diamonds and Invalidation of Certain orders of the Deputy Prime Minister of the Republic of Kazakhstan-Minister of Industry and New Technologies of the Republic of Kazakhstan»;</p> <p>7. The Code of the Republic of Kazakhstan dated July 5, 2014 №235-V of the ZRK «On administrative offenses».</p> <p><b>Internal control</b></p> <p>It is carried out in accordance with the established provisions of the above regulations by entities engaged in operations with rough natural diamonds and entities engaged in the extraction of rough diamonds on a special account with an authorized state body.</p> <p>Also, transactions with rough diamonds are registered.</p> <p><b>Responsibility for offenses</b></p> <p>Criminal and administrative liability of the customs services of the Republic of Kazakhstan is provided for in the case of the import of contraband products.</p>	
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## C. IMPORT AND EXPORT REGIME

Part A: Constant	Part B: Variable
<p>In the Republic of Kazakhstan, customs control and customs declaration of precious stones and jewelry are carried out only at customs points.</p> <p>The export and import of rough diamonds is carried out on the basis of the Act of State Control and the Kimberley Process Certificate.</p> <p><b>Outgoing deliveries</b></p> <p>When exporting rough diamonds from the territory of the Republic of Kazakhstan, the procedure of state control is carried out.</p> <p>State control is carried out in order to comply with national legislation and the Eurasian Economic Union, as well as the provisions of the Kimberley Process Certification System when performing foreign trade operations.</p> <p>In the absence of violations of the legislation of the Republic of Kazakhstan under state control, the State Controller simultaneously issues an act of state control and issues a certificate of the Republic of Kazakhstan with the Kimberley Process Certification Scheme.</p> <p>The certificate consists of two parts: the base sheet and the diamond import confirmation coupon (hereinafter referred to as the ticket).</p> <p>The coupon is an integral part of the certificate and confirmation of delivery to the destination.</p> <p>The coupon is filled in by the authorized body of the recipient country by the Party exported with rough diamonds.</p>	-

<p>When importing, upon receipt of a Certificate for a batch of diamonds, the State Controller tears up the ticket and sends it to the exporter by e-mail.</p> <p>It is allowed to fill out the coupon in handwritten form.</p> <p>The certificate is issued in a single copy, which is handed to the sender, and a copy is stored in the territorial department.</p> <p>The certificate is invalid if it contains erasures, stains, non-certified corrections and the necessary signatures and seals.</p> <p>If the certificate is damaged for any reason, it is returned to the territorial department within five working days to issue a duplicate certificate.</p> <p>The certificate must have the signature of the State Controller, a sample of signatures of persons authorized to sign certificates of the Kimberley Process Certification System, sent to the KPCS Secretariat.</p> <p>During the reporting period (2023), there were no imports of rough diamonds to the Republic of Kazakhstan.</p> <p><b><i>Incoming deliveries</i></b></p> <p>When importing rough diamonds into the territory of the Republic of Kazakhstan before customs operations related to customs declaration and registration of goods, the applicant carries out the process of state control over natural rough diamond raw materials.</p> <p>To pass State control, the applicant submits to the Inspector a certificate of the Kimberley Process of the exporting State.</p> <p>The certificate consists of two parts: the base sheet and the diamond import confirmation coupon (hereinafter referred to as the ticket).</p> <p>The coupon is an integral part of the certificate and confirmation of delivery to the destination.</p> <p>The coupon is filled in by the authorized body of the recipient country by the Party exported with rough diamonds.</p> <p>When importing, upon receipt of a Certificate for a batch of diamonds, the State Controller tears up the ticket and sends it to the exporter by e-mail.</p> <p>It is allowed to fill out the coupon in handwritten form.</p> <p>During the reporting period (2023), there were no imports of rough diamonds to the Republic of Kazakhstan.</p>	
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#### **D. SYSTEM OF INTERNAL CONTROLS AND INDUSTRY SELF-REGULATION**

<b>Part A: Constant</b>	<b>Part B: Variable</b>
<p>Currently, there is no mining, production and operations related to rough natural diamonds in the Republic of Kazakhstan.</p> <p>During the reporting period of 2023, import, export and transit under the KP Certification Scheme were not carried out.</p> <p>In this regard, measures to strengthen internal control (amendments to the NPA, seminars, etc.) were not carried out.</p>	-

#### **E. STATISTICS**

<b>Part A: Constant</b>	<b>Part B: Variable</b>
<p>During the reporting period (2023), there was no production, import and export of rough diamonds to the Republic of Kazakhstan.</p> <p>The certificate was not issued for the shipment of rough diamonds.</p>	-

Table -1 : Overview of production - import - export during the last 5 years.

Period	Production			Import			Export		
	Volume, cts	Value, US\$	US\$/cts	Volume, cts	Value, US\$	US\$/cts	Volume, cts	Value, US\$	US\$/cts
2019	-	-	-	-	-	-	-	-	-
2020	-	-	-	-	-	-	-	-	-
2021	-	-	-	-	-	-	-	-	-
2022	-	-	-	-	-	-	-	-	-
2023	-	-	-	-	-	-	-	-	-

Table - 2 : Overview of kpc count for import - export during the last 5 years.

PERIOD	KPC Counts		
	IMPORT	EXPORT	TOTAL
2019	-	-	-
2020	-	-	-
2021	-	-	-
2022	-	-	-
2023	-	-	-

## **F. IMPLEMENTATION OF RECOMMENDATIONS OF KIMBERLEY PROCESS REVIEW VISITS AND/OR REVIEW MISSIONS**

No review visits and/or review missions have been carried out

## **G. INFRINGEMENTS AND COOPERATION WITH RESPECT TO ENFORCEMENT**

No enforcement has been carried out

## **H. MISCELLANEOUS**

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